



Doctoral Dissertation Research:
**More than Mere Dead-Weight: The Variety of Ways Regulators Respond to
Disruptive Technological Innovation in Advanced Industrial Democracies**

Principal Investigator: Christopher Ansell, PhD
Student Investigator: Konrad Posch

HUMAN SUBJECTS CONSENT FORM

My name is Konrad Posch. I am Ph.D. Candidate in the Charles and Louise Travers Department of Political Science at the University of California, Berkeley. I would like to invite you to take part in a study asking how regulators respond to disruptive technological innovation.

If you agree to take part in this research, one or more members of the research team will conduct an interview with you at the time and location of your choice either in person, by phone, or through video conferencing. The interview will involve questions about how regulation and innovation interact particularly around disruptive technological innovation. The interview should last about 60 minutes. At the start of the interview, the researcher(s) will ask for your verbal consent to be interviewed. With your permission, the research team will record the interview, audio for phone interviews and audio or video for in person and video conferencing interviews. You will be able to choose which mode is most comfortable and convenient for you.

We expect to conduct only one interview. However, follow-ups may be needed for additional clarification. If so, we will contact you by e-mail or phone.

There are no foreseeable risks to you from participating in this research. At the same time, there is no direct benefit to you. There will be no costs to you, other than your time involved and any personal transportation costs should you request that the interview take place outside your office.

All of the information that we obtain from you during the research will be kept confidential. Recordings will be stored in a Google Drive folder protected by two factor authenticated (2FA) operated by the University of California, Berkeley Calnet program (<https://calnetweb.berkeley.edu/calnet-2-step>). Field notes from participant observation will be stored in password protected Evernote notes which are part of a two factor authenticated Evernote account.

Transcripts of recordings and of hand written notes of interviews will be reviewed to remove identifying information. The research team will not use your name or other identifying information in any reports of the research without your express permission. After this research is completed, the research team will destroy the audio recording of our interview. Transcripts of interviews will be identified by an interview number that will be linked to a key listing the organizational unit represented by the interview. That keyed listing will be kept password protected with the password known only to the research team (and never transmitted to a server).

The same confidentiality guarantees given here will apply to future storage and use of these transcripts.

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At the end of the study, the research team will review transcripts to ensure that they contain no information that would allow a reasonably knowledgeable and determined person to identify the individual who gave the interview. With your permission, the completely de-identified transcript of your interview will be made publicly available using the UC Berkeley Dryad data archiving and publication system.

Although we take every precaution to maintain confidentiality, because there is always a chance of breach of confidentiality, we ask you not to disclose anything during interview that would place you at risk of civil or criminal liability, or cause damage to your financial standing, employability or reputation.

Your participation in this research is voluntary. You are free to refuse to take part. You may refuse to answer any questions and may stop taking part in the study at any time. Whether or not you participate in this research will have no bearing on your standing in your job.

If you have any questions about the research, you may email me, Konrad Posch, at konrad.posch@berkeley.edu.

If you have any questions about your rights or treatment as a participant in this research project, please contact the University of California at Berkeley's Committee for Protection of Human Subjects at (510) 642-7461, or e-mail: subjects@berkeley.edu.

If you agree to take part in the research, please keep a copy of this agreement for future reference. If you consent to recording of your interview, we will record your verbal consent at the time of the interview. If you prefer not to be recorded, we will record your verbal consent in our interview notes which will be protected by 2FA as described above.